


Lecture 20: Splay Trees

**+ Today**

- Reading
  - JS Ch. 14
- Objectives
  - Finish binary trees
  - Splay trees
- Announcements
  - Midterm Monday March 10<sup>th</sup>
  - “Programming” assignment has same due date (Sunday night)
  - Next week we start Parallelism and Concurrency (see webpage)



## + Recap: Locating a value in a BST

```
protected BinaryTree<E> locate(BinaryTree<E> node, E value) {
    E nodeValue = node.value();
    BinaryTree<E> child;

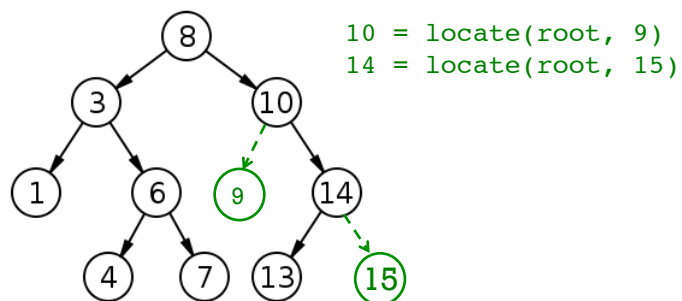
    // If node's value equals value, we're done
    if (nodeValue.equals(value))
        return node;

    // Look left if less than, right if greater
    if (ordering.compare(nodeValue,value) < 0) {
        child = node.right();
    } else {
        child = node.left();
    }

    // If no child, return node. Else keep searching
    if (child.isEmpty()) {
        return node;
    } else {
        return locate(child, value);
    }
}
```

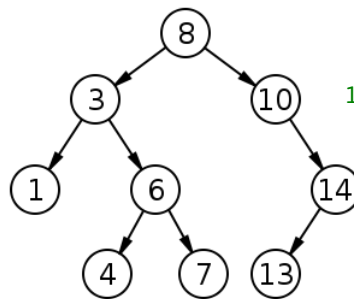
## + Recap: Using locate to add a node

- Case One: Locate returns pointer to where node should be added
  - If value less than returned node, create new left child
  - If value greater than returned node, create new right child



## + Recap: Using locate to add a node

- Case Two: Locate returns pointer to node with same value
  - Duplicates go in left subtree (could have chosen right)
  - Where in the left subtree?

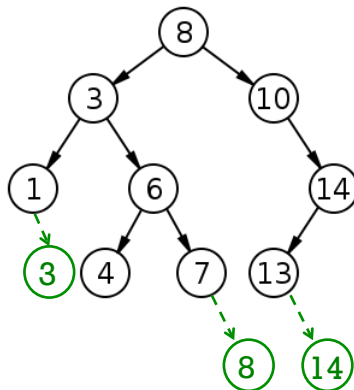


```

3 = locate(root, 3)
8 = locate(root, 8)
14 = locate(root, 14)
  
```

## + Recap: Using locate to add a node

- Case Two: Locate returns pointer to node with same value
  - Duplicates go in left subtree (could have chosen right)
  - *Should be the rightmost descendent*



```

myBST.add(3);
myBST.add(8);
myBST.add(14);
  
```

## + Recap: Using locate to add a node

```

public void add(E value) {
    BinaryTreeNode newNode = new BinaryTreeNode(value);

    // If no root, make new node root
    if (root.isEmpty()) {
        root = newNode;
    } else {

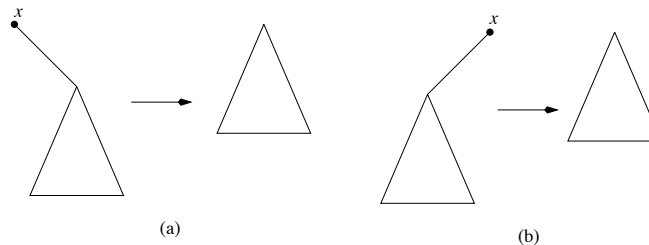
        // Find where new node should go
        BinaryTreeNode insertLocation = locate(root, value);
        E nodeValue = insertLocation.value();

        if (ordering.compare(nodeValue, value) < 0) {
            insertLocation.setRight(newNode); // case one
        }
        else {
            if (!insertLocation.left().isEmpty()) { // case two
                predecessor(insertLocation).setRight(newNode);
            } else {
                insertLocation.setLeft(newNode); // case one
            }
        }
    }
    count++;
}

```

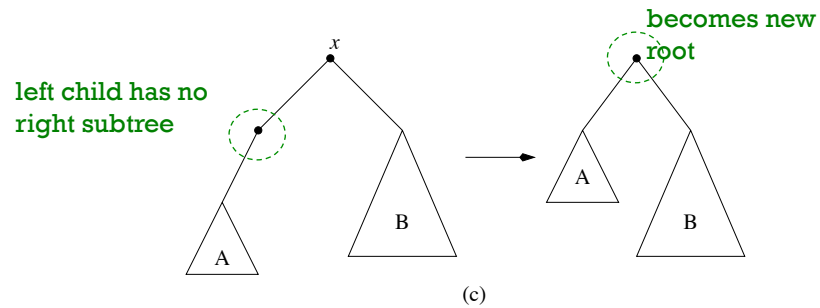
## + Remove a node

- Calling `remove(E val)` removes node with value `val`
- Case One:
  - Node to be removed has no left subtree or no right subtree



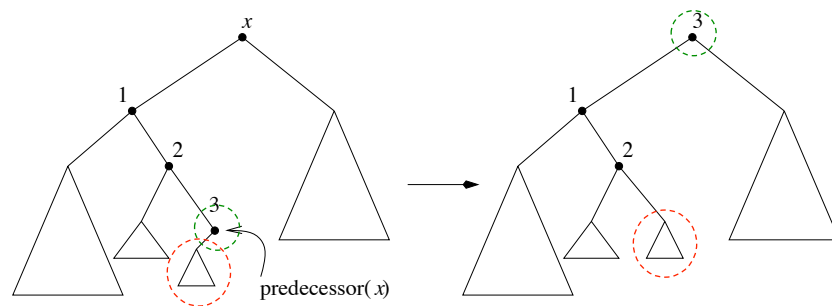
## + Remove a node

- Calling `remove(E val)` removes node with value `val`
- Case Two:
  - Node to be removed has both left and right subtree but its left child has no right subtree



## + Remove a node

- Calling `remove(E val)` removes node with value `val`
- Case Three:
  - Predecessor of root node becomes new root



## + Remove a node

- To remove a node
  - Locate the node to be removed
  - Remove node
  - Depending on case, reset pointers (may require finding predecessor)
- Complexity is  $O(h)$  where  $h$  is height of tree
  - Worst-case  $O(h)$  to locate
  - Worst-case  $O(h)$  to find predecessor
- Recall that  $\log_2 N \leq h \leq N$

## + Complexity

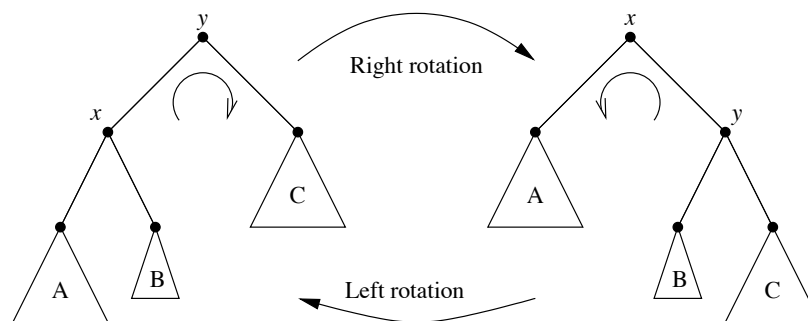
- locate, predecessor, add, contains, remove are all  $O(h)$
- Can we guarantee that  $h$  is  $O(\log_2 n)$ ?
  - Only if tree stays balanced!!
- Binary search trees that stay balanced
  - AVL trees (1962)
  - Red-black trees (1972)
- Splay trees (1985) don't necessarily stay balanced but provide fast access for repeated calls

## + Splay Trees

- To splay (v.): to spread out and apart
- A splay tree is a binary search tree that rearranges its nodes via *splaying* in order to provide faster access to recently accessed elements
- Splaying moves a node to the root of the tree
- Splaying uses two fundamental operations: right and left rotations

## + Right and Left Rotations

- Key idea: Rotate a node higher in tree while maintaining binary search tree property

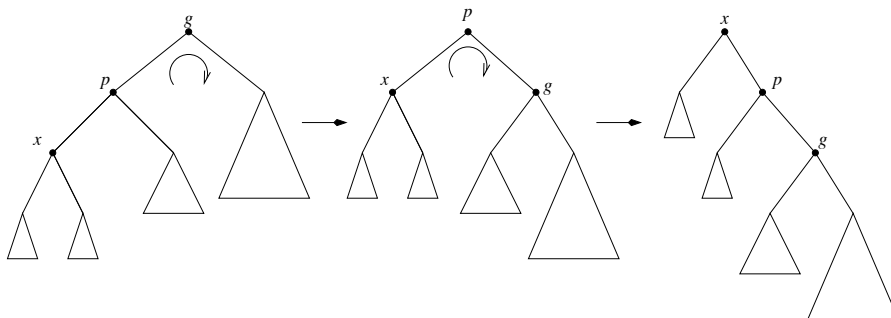


## + Splaying

- Case One:  $x$  is the root
  - Done!
- Case Two:  $x$  is the left or right child of the root
  - Left or right rotate. Done!
- Case Three:  $x$  is the left child of a left child (or right of right)
  - Right rotation of grandparent. Right rotation of parent. Continue splaying
- Case Four:  $x$  is the right child of a left child (or left of right)
  - Left rotation of parent. Right rotation of grandparent. Continue splaying

## + Case Three

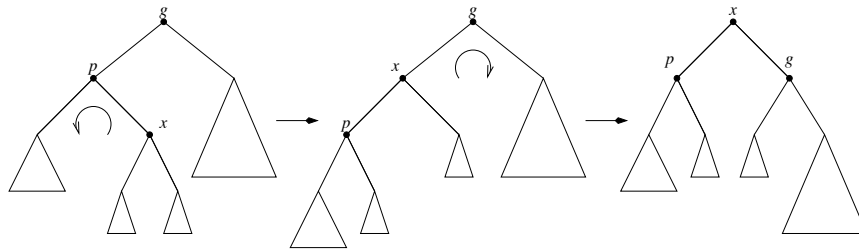
- $x$  is the left child of a left child (or right of right)





## + Case Four

- $x$  is the right child of a left child (or left of right)



## + Splay Trees

- When do you splay?
  - When call add, contains, or get method – splay on element
  - When call remove – splay on element's parent
- Depth of nodes on original path from  $x$  to root is halved on average
- If repeatedly look for same elements, then rise to top -- and found faster!
- Splay code is non-trivial but follows ideas given

## + Example of modified operation

```
public boolean contains(E val) {
    // If empty tree return false
    if(root.isEmpty()) { return false; }

    // Locate node
    BinaryTreeNode possibleLocation = locate(root, val);

    // If the value is in the tree, take the
    // opportunity to splay
    if (val.equals(possibleLocation.value())){
        splay(possibleLocation);
        root = possibleLocation;
        return true;
    } else {
        return false;
    }
}
```

**contains changes  
the tree...**